UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------|-------------|----------------------|----------------------|------------------|
| 10/768,761 | 01/29/2004 | Clark Bendall | 702-102 | 7060 |
| | 7590 | | EXAM | INER |
| 250 SOUTH CLINTON STREET | | | SMITH, PHILIP ROBERT | |
| SUITE 300 SYRACUSE, N | IY 13202 | | ART UNIT | PAPER NUMBER |
| , | | | 3779 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 05/19/2011 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | Application No. | Applicant(s) | |
|--|---|--|--|
| | 10/768,761 | BENDALL ET AL. | |
| Office Action Summary | Examiner | Art Unit | |
| | PHILIP SMITH | 3779 | |
| The MAILING DATE of this communication ap Period for Reply | ppears on the cover sheet wi | th the correspondence address | |
| A SHORTENED STATUTORY PERIOD FOR REP WHICHEVER IS LONGER, FROM THE MAILING I - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory perior Failure to reply within the set or extended period for reply will, by statu Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNIC 1.136(a). In no event, however, may a red d will apply and will expire SIX (6) MON ate, cause the application to become AB | CATION. Seply be timely filed THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133). | |
| Status | | | |
| 1) ☐ Responsive to communication(s) filed on <u>04</u> 2a) ☐ This action is FINAL . 2b) ☐ Th 3) ☐ Since this application is in condition for allow closed in accordance with the practice under | is action is non-final. ance except for formal matt | • | |
| Disposition of Claims | | | |
| 4) ☑ Claim(s) 1-40 is/are pending in the applicatio 4a) Of the above claim(s) 1-9,15,16 and 35-4 5) ☐ Claim(s) is/are allowed. 6) ☑ Claim(s) 10-14 and 17-34 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/ | <u>'0</u> is/are withdrawn from con | sideration. | |
| Application Papers | | | |
| 9) The specification is objected to by the Examir 10) The drawing(s) filed on is/are: a) according an applicant may not request that any objection to the Replacement drawing sheet(s) including the correction of the sheet of the shee | ecepted or b) objected to e drawing(s) be held in abeyant ection is required if the drawing | ce. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.121(d). | |
| Priority under 35 U.S.C. § 119 | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documer 2. Certified copies of the priority documer 3. Copies of the certified copies of the priority application from the International Bures * See the attached detailed Office action for a list | nts have been received. nts have been received in A fority documents have been au (PCT Rule 17.2(a)). | oplication No received in this National Stage | |
| Attachment(s) 1) Notice of References Cited (PTO-892) | | ummary (PTO-413) | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date | | :)/Mail Date Iformal Patent Application | |

Application/Control Number: 10/768,761 Page 2

Art Unit: 3779

DETAILED ACTION

BPAI Decision of 5/4/11

[01] In the BPAI decision of 5/4/11, the Board found that Murata did not disclose a "unitary control and display handset element" because Murata does not disclose "a handheld device that allows a prospective user to monitor and control the operation of the endoscope system by hand. Rather, the remote control and display device are separate devices that are indirectly connected via the box-like unit." Moreover, "the endoscope system is not a handheld device."

Claim Rejections - 35 USC § 103

[02] The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- [03] Claims 10,12-14, 17, 19-21,23-26, 28, 30, 33 & 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Murata (2001/0051762) in view of Hill (6,929,600) and in further view of Chikama (5,002,041).
- [04] With regard to claim 10:
 - [04a] Murata discloses a modular visual inspection system for viewing the interior of a structure, comprising:

Application/Control Number: 10/768,761 Page 3

Art Unit: 3779

a base unit element comprising a memory element ("memory card 113," [0103], a
processor element ("image processing circuit 111," [0103]), and a modular light source
("lamp 64," [0058]);

- a [] control [element] and [a] display [] element comprising a screen element for viewing the interior of the structure ("display device 10," [0045]) and an articulation control element ("motor-driven angling unit 17," [0046])
- an insertion element for imaging the interior of the structure, said insertion element comprising an imaging sensor ("charge-coupled device (CCD) 41" [0053]) and an elongated portion ("elongated insertion member 2 that is flexible," [0045]);
- wherein the base unit element is in electro-optical communication with the unitary control and display handset element,
- wherein each one of said plurality of insertion elements can be connected to said unitary control and display handset element, and
- wherein each one of said plurality of insertion elements can be used without modification of said unitary control and display handset element.

[04b] Murata does not disclose

- that the control element and display element are a unitary handset.
- As stated by the Board on page 9:

We find that an ordinarily skilled artisan would have readily appreciated incorporating Murata's display device into the remote control, thereby creating a unitary handheld device that includes both a display and a plurality of control switches, which allow a prospective user to monitor and control the operation of a remote video inspection system by hand. *KSR lut'l Co. v. Teleflex, Inc.*, 550 U.S. 398, 416 (2007) ("[W]hen a patent claims a structure already known in the prior art that is altered by the mere substitution of one

Art Unit: 3779

element for another known in the field, the combination must do more than yield a predictable result."). Thus, we find that Murata teaches or fairly suggests "a unitary control and display handset element comprising a screen element for viewing the interior of the structure and an articulation control element," as recited in independent claim 10.

Additionally, Murata discloses that the endoscope is indirectly connected to both the display device and the remote control via the front panel of the box-like main unit. (FF 1.) Therefore, since independent claim 10 does not preclude an indirect connection, we find that an ordinarily skilled artisan would have readily appreciated indirectly connecting Murata's endoscope to the remote control/display configuration set forth above. Moreover, Hill discloses numerous endotracheal tubes that have various lengths. (FF 3.) We find that an ordinarily skilled artisan would have appreciated that Murata's remote control/display configuration is also capable of indirectly connecting to each one of Hill's endotracheal tubes, thereby providing a prospective user (i.e., doctor) with greater flexibility in selecting the appropriate endotracheal tube when inspecting a patient's trachea. KSR, 550 U.S. at 417 ("[1]f a technique has been used to improve one device, and a person of ordinary skill in the art would recognize that it would improve similar devices in the same way, using the technique is obvious unless its actual application is beyond his or her skill."). Thus, we find that the combination of Murata and Hill teaches or fairly suggests "wherein each one of said plurality of insertion elements can be connected to said unitary control and display handset element," as recited in independent claim 10. Accordingly, we find that the combination of Murata, Hill, and Chikama renders the subject matter of independent claim 10 unpatentable.

[04c] Murata does not disclose

that there are a plurality of insertion elements, wherein each one of said plurality of
insertion elements can be used without modification with said control and display
element, wherein said plurality of insertion elements include at least two insertion
elements have different physical or optical characteristics.

[04d] Hill discloses

Application/Control Number: 10/768,761

- a "connector 136" that "is preferably dimensioned to make a secure, friction fit with the universal adaptor 140," wherein "connector 136 may be slid up and down the stylet
 104 to provide connection to the universal adaptor 140 for a variety of endotracheal tubes having various lengths."
- [04e] At the time of the invention, it would have been obvious to a person of ordinary skill in the art to provide an endoscope system in which insertion elements of various lengths may be utilized. It is well-known that endoscopes may be used in a variety of procedures for which different insertion elements may be optimal.
- [04f] Murata does not disclose that the elongated portion is braided.
- [04g] Chikama discloses the following in 1/52-59:

A conventional insertion portion (flexible tube structure) for an endoscope ... comprises a holder coil formed by winding a strip-like plate, a braid tube formed around the outer periphery of the holder coil, and an outer sheath of a resin covering the braid tube.

- [04h] At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use convention elements in the construction of Murata's elongated portion. Braids are conventionally used to construct elongated insertion portions in endoscopes because they are strong and flexible.
- [05] With regard to claims 13,14,17: Murata discloses an aperture ("card connector 112," [0103]) to allow insertion of an electronic storage media comprising a PC cards ("113," as noted above).
- [06] With regard to claim 12: Murata discloses a keyboard ("152," [0130], Fig 12).
- [07] With regard to claims 19,20: Murata discloses that the base unit further comprises at least one connectivity element, wherein the at least one connectivity element is a serial port ("serial communication," [0131]).

Application/Control Number: 10/768,761

Art Unit: 3779

[08] With regard to claim 23: Murata discloses a storage reel ("cylindrical drum 4" [0045]) for storing said insertion element.

Page 6

- [09] With regard to claim 24: Murata discloses a weatherproof container element ("a box-like main unit 5," [0045]) sized such that the base unit element fits within the container element.
- [10] With regard to claim 26: Murata discloses an LCD ("LCD panel of the display device 10," [0056]) which is inherently capable of showing images in a 16:9 format.
- [11] With regard toclaim 28: Murata discloses a joystick ("remote control unit 8," [0047]).
- [12] With regard to claim 30: Murata discloses at least one servo motor "motor-driven angling unit 17," [0046]).
- [13] With regard to claim 33: The memory element disclosed by Murata is capable of storing data representing images ([0103]).
- [14] With regard to claim 34: Murata discloses that the memory element of the base unit element includes a computer program for generating reports (i'reading or writing..." [0103]) based on data obtained by the imaging sensor of each of said plurality of insertion elements.

Additional Claim Rejections- 35 USC § 103

- [15] Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Murata in view of Pearlman (5,347,992).
- [16] Murata does not disclose a fluid reservoir.
- [17] Pearlman discloses the following in 1/11-23:

During endoscopic procedures, the surgeon must frequently irrigate and then suction a region in which he is operating. He is customarily provided with a handpiece that includes two trumpet-type valves, one for the liquid and the other for suction. His task in addition to manipulation of the various optical and surgical appliances associated with an endoscope is to irrigate regions of interest, and to suction out liquids and debris. Anything which can simplify

Application/Control Number: 10/768,761

Art Unit: 3779

this assortment of tasks is a welcome improvement. Convenience of grasp is a further convenience. If an appliance can only be gripped in one orientation, it is likely that in other alignments it will be inconvenient to manipulate.

Page 7

[18] At the time of the invention, it would have been obvious to a person of ordinary skill in the art that the endoscope disclosed by Murata have an irrigation channel which necessitates a fluid reservoir, as disclosed by Pearlman. A skilled artisan would be motivated to do so because endoscopic procedures conventionally require irrigation of regions of interest; and irrigation requires a fluid reservoir from which to draw irrigation fluid.

Additional Claim Rejections- 35 USC § 103

- [19] Claims 18, 22, 29, 31,32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Murata in view of Saito (6,184,922).
- [20] With regard to claim 18: Murata does not disclose that the processor element of the base-unit element is capable of video compression.
- [21] Saito discloses a "motion-picture data compressing means" (4/42) which compresses endoscope images prior to storage. At the time of the invention, it would have been obvious to a person of ordinary skill in the art that the memory element disclosed by Murata store compressed images as taught by Saito. A skilled artisan would be motivated to do so in order to reduce the required size of the memory element, or to allow a greater amount of data to be stored on a memory element of finite size.
- [22] With regard to claim 22: Murata does not disclose that the modular light source is selected from the group of light sources consisting of: LEDs, arc discharge lamps, lasers, UV Lamps, and IR lamps.
- [23] Saito discloses an arc discharge lamp ("white light source 121 such as a xenon lamp" (14/49). At the time of the invention, it would have been obvious to a person of ordinary skill in the art that in

reduction to practice the lamp disclosed by Murata take the particular from of an arc discharge lamp. A skilled artisan would be motivated to do so in order to provide strong white light, as xenon lamps are well known to provide.

- [24] With regard to claim 29: Murata does not disclose a switch to freeze an image displayed by said control and display element.
- [25] Saito discloses a "release switch 48" (5/54-60) which freezes a displayed image in the form of a "still image to be recorded." At the time of the invention, it would have been obvious to a person of ordinary skill in the art to include a freeze switch in the control and display element disclosed by Murata. A skilled artisan would be motivated to do so in order to allow a still image to be recorded to be viewed at a later date.
- [26] With regard to claims 31,32: Murata does not disclose that the image sensor gathers sufficient data to create a selected video signal selected from the group of video signals consisting of: PAL, NTSC, and progressive scan.
- [27] Saito discloses a "light source unit 103" which "agree[s] with the frame frequency of a video signal (29.97 Hz in the NTSC system). At the time of the invention, it would have been obvious to a person of ordinary skill in the art that the displayed video signal disclosed by Murata take the particular form of an NTSC signal. A skilled artisan would be motivated to use conventional elements. In reduction to practice, NTSC is a conventional video signal.

Additional Claim Rejections- 35 USC § 103

- [28] Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Murata.
- [29] Murata discloses a control and display element, but does not disclose an anti-glare element.

Application/Control Number: 10/768,761 Page 9

Art Unit: 3779

[30] At the time of the invention, it would have been obvious to a person of ordinary skill in the art that the control and display element disclose by Murata comprise an anti-glare element. A skilled artisan

would be motivated to do so in order to enable better viewing of images.

Conclusion

[31] Any inquiry concerning this communication or earlier communications from the examiner should be

directed to Philip R Smith whose telephone number is (571) 272 6087 and whose email address is

philip.smith@uspto.gov. The examiner can normally be reached between 9:00am and 5:00pm. If

attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom

Sweet, can be reached on (571) 272 4761. Information regarding the status of an application may

be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR. Status information

for unpublished applications is available through Private PAIR only. For more information about the

PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Philip R Smith/

Primary Examiner, Art Unit 3779